

SDR

Technical Specification

For

New Funding Source 33

Version 1.0

Date: 3 November 2016

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OVERVIEW

Addition of source of funding 33 for reporting DualPathways (Level 2-3 secondary-tertiary pilot) Funding enrolments

The DualPathways Pilot is a partnership between schools, tertiary education providers and employers/industry organisations. DualPathways students will be enrolled part-time in secondary school and part-time in either tertiary education or industry training.

The aim of the DualPathways Pilot is to support students to gain NCEA Level 2 and 3, or equivalent, and to help students move into work and/or higher level education.

The new funding source code is 33 - DualPathways (Level 2-3 secondary-tertiary pilot) Funding. The changes are effective from the April 2017 return onwards.

CHANGES

New Source of Funding Codes

Funding Source	Description	Applicable from SDR round
33	DualPathways (Level 2-3 secondary-tertiary pilot) Funding	April 2017

Source of funding code **33** is valid from April 2017 return onwards. It will not be accepted prior to this round.

VALIDATION CHANGES

There will be a set of validation changes introduced in relation to new Source of funding 33.

New Validations

The following new validations will be added and are applicable from April 2017 SDR Round:

Error Code	656
Field	FUNDING
Error Type	Error
Description	FUNDING = 33 and QUAL is not NZQF Level 2 or 3 or FACTOR is greater than 0.35
Applies To	All Students
Logic	This will be returned when Funding is 33 and the NZQF level of the qualification associated with the enrolment is not 2 or 3 or the FACTOR is greater than 0.35.
Effective From	Return Month 201704

Error Code	657
Field	CRS_SRT
Error Type	Error
Description	FUNDING = 33 and course start date is not greater than 31/12/16
Applies To	All Students
Logic	This will be returned when Funding is 33 and the course start date is not greater than 31/12/16.
Effective From	Return Month 201704

Error Code	658
Field	FUNDING
Error Type	Error
Description	If FUNDING = 33, student must be 16 years of age or older but not reached their 20th birthday at the time of the earliest course start date of the qualification being funded by DualPathways (Level 2-3 secondary-tertiary pilot) Funding
Applies To	All Students
Logic	This will be returned when Funding is 33 and the student is younger than 16 or older than 19 at the earliest course start date.
Effective From	Return Month 201704

Changes to existing Validations

The following existing validations will be altered to include Source of funding 33 from April 2017 SDR Round:

Error Code	014
Field	QUAL
Error Type	Error
Description	Qualification Code in Course Enrolment is not approved for funding and source of funding is 01, 22, 25, 26, 27, 28, 29, 30, 32 or 33
Applies To	All Students

Error Code	595
Field	FUNDING
Error Type	Error
Description	RESIDENCY or AUS_RESIDENCY must be Y, or CITIZEN is NZL or AUS, or ASSIST must be 00, 08 or 13 if FUNDING = 22, 27, 28, 29 or 33
Applies To	All Students

Error Code	603
Field	ASSIST
Error Type	Error
Description	ASSIST is 08 and Funding is not 01, 12, 23, 25, 26, 27, 30, 32 or 33
Applies To	D

Error Code	604
Field	ASSIST
Error Type	Error
Description	ASSIST is 13 and Funding is not 01, 22, 25, 26, 27, 30, 32 or 33
Applies To	All Students

Error Code	611
Field	FUNDING
Error Type	Error
Description	FUNDING is blank or not a valid funding code
Applies To	All Students
Logic	The logic will be extended to recognise 33 as a valid FUNDING code from April 2017 SDR Round. The logic will be extended to recognise 33 for IND from 2017 onwards.

Error Code	620
Field	ASSIST
Error Type	Error
Description	ASSIST is not 00, 08 or 13 and FUNDING = 22, 25, 26, 27, 28, 29 or 33
Applies To	All Students

SUMMARY REPORT CHANGES

There will be a set of Summary Report changes introduced in order to represent Source of funding 33 in the Summary Reports.

Changes effective from April 2017 SDR

Broad Summary report

Source of funding 33 will be included in “Other Eligible for Funding” in the Broad Summary Report:

Funding Type							
SAC 01 Level 3+ Eligible for Funding	0	2	35809	35811		36668	36979
Other Eligible for Funding	0	134	26	160		160	192
International FFP	0	0	4700	4700		4668	4377
Other than above	0	0	759	759		758	598
Total:	0	136	41294	41430		42254	42146

Mockup of new implementation

The changes will apply to both Draft and Final versions of Broad Summary Report.

Detailed Summary report

Student Enrolment Summary

Source of funding 33 will be added to the “Student Enrolment Summary” section of this report.

[NOTE that this row will only be generated when enrolments exist with Source of funding 33]

Funding													
01 Student Achievement Component Funding	1507	65.50%	1	0.20%	0	0	50	1558	53.50%	2000	36.30%	1887	33.10%
02 International FFP	190	8.30%	15	3.10%	0	0	1	206	7.10%	302	5.50%	247	4.30%
03 Domestic FFP	14	0.60%	394	82.60%	0	0	18	426	14.60%	1887	34.30%	2137	37.40%
05 STAR	0	0.00%	0	0.00%	23	0	0	23	0.80%	127	2.30%	180	3.20%
11 ITO Off Job Train.	40	1.70%	0	0.00%	0	26	0	66	2.30%	205	3.70%	226	4.00%
22 Youth Guarantee	57	2.50%	0	0.00%	0	0	0	57	2.00%	67	1.20%	73	1.30%
24 Trades Academies	232	10.10%	0	0.00%	0	0	0	232	8.00%	190	3.50%	168	2.90%
23 ACE (Adult and Community Education)	0	0.00%	67	14.10%	0	0	0	67	2.30%	270	4.90%	281	4.90%
25 SAC Level 1 & 2 Competitive Process Funding	0	0.00%	0	0.00%	0	0	0	0	0.00%	25	0.50%	0	0.00%
26 SAC Level 1 & 2 Plan Process Funding	91	4.00%	0	0.00%	0	0	11	102	3.50%	214	3.90%	315	5.50%
27 Under 25 Fees Free SAC Level 1 and 2 Plan Process Funding	134	5.80%	0	0.00%	0	0	6	140	4.80%	199	3.60%	196	3.40%
28 Maori Pasifika Trades Training Level 1 and 2	15	0.70%	0	0.00%	0	0	0	15	0.50%	9	0.20%	0	0.00%
29 Maori Pasifika Trades Training Level 3 and 4	20	0.90%	0	0.00%	0	0	0	20	0.70%	11	0.20%	0	0.00%
30 ICT Graduate schools	15	0.70%	0	0.00%	0	0	0	15	0.50%	9	0.20%	0	0.00%
31 Non-funded confirmed student enrolments	0	0.00%	0	0.00%	23	0	0	23	0.80%	127	2.30%	180	3.20%
32 SAC Level 3 & 4 Competitive Process Funding	15	0.70%	0	0.00%	0	0	0	15	0.50%	9	0.20%	0	0.00%
33 Level 2-3 Secondary-tertiary Pilot Funding	15	0.70%	0	0.00%	0	0	0	15	0.50%	9	0.20%	0	0.00%
Total:	2300		477		46	26	86	2912		5506		5710	

Mockup of updated funding breakdown

EFTS Consumed by Source of Funding from Enrolment Data

Source of funding 33 will be added to the “EFTS Consumed by Source of Funding” section of this report.

[NOTE that this row will only be generated when enrolments exist with Source of funding 33]

EFTS CONSUMED BY SOURCE OF FUNDING FROM ENROLMENT DATA*

	Jan EFTS	Feb EFTS	Mar EFTS	Apr EFTS	May EFTS	Jun EFTS	Jul EFTS	Aug EFTS	Sep EFTS	Oct EFTS	Nov EFTS	Dec EFTS	Total EFTS
01 Student Achievement Component Funding	26.595	98.4012	101.1908	96.9246	93.8074	89.144	105.9537	56.9608	53.1842	51.6712	51.35	37.3557	862.5385
02 International Fee-Paying Student	12.724	23.5138	18.6179	13.9491	13.9633	13.9566	17.4818	3.7001	3.7001	3.7038	3.5894	3.4303	132.3306
03 Domestic Full Fee Paying Student	3.1552	6.4409	2.59	1.1194	0.8791	0.5942	0.5987	0.3275	0.3122	0.4207	0.275	0.2234	16.9363
05 S.T.A.R. Funded Student	0	0.5219	0.5219	0.5234	0	0	0	0	0	0	0	0	1.5672
11 I.T.O. Off Job Training	0	0.935	0.9376	0.8284	0.8284	0.8284	0.8292	0.7396	0.7396	0.7494	0.5436	0.558	8.5172
22 Youth Guarantee	0.2	4.7984	5.0202	4.8327	5.0298	4.9943	4.803	1.6161	1.6161	1.6161	1.6164	1.6181	37.7612
23 ACE (Adult and Community Education)	1.42	1.58	1.42	0	0	0	0	0	0	0	0	0	4.42
24 Trades Academies	0	6.8881	6.8881	6.8881	6.8881	6.8881	6.8881	6.8881	6.997	0	0	0	55.2137
26 SAC Level 1 & 2 Plan Process Funding	0	4.8103	6.8051	6.9197	6.6731	5.9899	6.5847	1.055	1.058	0.9416	0.9416	0.9799	42.7589
27 Under 25 Fees Free SAC Level 1 and 2 Plan Process Funding	0	9.8878	11.1518	11.3798	11.1332	10.4534	10.7269	1.4711	1.4771	1.2443	1.2443	1.3402	71.5099
28 Maori Pasifika Trades Training Level 1 and 2	0	1.4555	1.4555	1.4585	0.8321	0.7379	0.7523	0	0	0	0	0	6.6918
29 Maori Pasifika Trades Training Level 3 and 4	0.6985	1.7873	1.898	1.9104	1.3023	1.1995	1.203	0.8528	0.8528	0.8528	0.8627	0.573	13.9931
30 ICT Graduate schools	0	0	0	0	0	0	0	0	1.2	0.5	0	0	1.7
31 Non-funded confirmed student enrolments	0	0	0	0	0	0	0	0	1.2	0.5	0	0	1.7
32 SAC Level 3 & 4 Competitive Process Funding	9.8878	1.4555	1.4555	0	0	0	0	0	0	0	0	0	12.7988
33 Level 2-3 Secondary-tertiary Pilot Funding	9.8878	1.4555	1.4555	0	0	0	0	0	0	0	0	0	12.7988
Total of all EFTS	64.569	163.9312	161.4079	146.7341	141.3368	134.7863	155.8214	73.6111	72.3371	62.1999	60.423	46.0786	1283.236

Mockup of updated source of funding breakdown

Actual and Forecast EFTS by Course Classification

Source of funding 33 will be included into "TOTAL Other than above EFTS" of this report.

	Jan EFTS	Feb EFTS	Mar EFTS	Apr EFTS	May EFTS	Jun EFTS	Jul EFTS	Aug EFTS	Sep EFTS	Oct EFTS	Nov EFTS	Dec EFTS	Total EFTS
TOTAL Domestic SAC 01 Level 3+ Eligible for Funding EFTS	26.595	98.4012	101.1908	96.9246	93.8074	89.144	105.9537	56.9608	53.1842	51.6712	51.35	37.3557	862.5385
TOTAL International Students that receive SAC 01 Level 3+ at Funding Rate Level 5 EFTS	12.724	23.5138	18.6179	13.9491	13.9633	13.9566	17.4818	3.7001	3.7001	3.7038	3.5894	3.4303	132.3306
TOTAL Other than above EFTS	25.249	42.0162	41.5992	35.8604	33.5661	31.6857	32.3859	12.9502	15.4528	6.8249	5.4836	5.2926	288.3669
Total of all EFTS	64.569	163.9312	161.4079	146.7341	141.3368	134.7863	155.8214	73.6111	72.3371	62.1999	60.423	46.0786	1283.236

Forecast EFTS

Funding Source 33 will be included into "Non SAC 01 level 3+" in the Forecast EFTS section of this report.

FORECAST EFTS***

Year	Funding Source	Jan EFTS	Feb EFTS	Mar EFTS	Apr EFTS	May EFTS	Jun EFTS	Jul EFTS	Aug EFTS	Sep EFTS	Oct EFTS	Nov EFTS	Dec EFTS	Total EFTS
2016	SAC 01 Level 3+	0	0	0	4.0765	4.0765	4.0765	4.0765	4.0765	4.0765	4.0765	4.0765	4.083	36.6951
	Non SAC 01 Level 3+	0	0	0	14.8712	12.5181	6.3851	6.3845	6.1345	6.1345	6.1345	6.1345	6.2101	70.9071
	Total	0	0	0	18.9477	16.5946	10.4617	10.461	10.211	10.211	10.211	10.211	10.2931	107.6022
2017	SAC 01 Level 3+	0.00%	0.00%	0.00%	210.97%	210.97%	210.97%	210.97%	210.97%	210.97%	210.97%	210.97%	210.97%	210.97%
	Non SAC 01 Level 3+	0	1.0839	1.3007	4.4189	4.4189	4.4189	4.4189	4.4189	4.4189	4.4189	4.4189	4.426	42.1621
	Total	0.812	4.5788	4.9289	16.1204	13.5696	6.9215	6.9208	6.6498	6.6498	6.6498	6.6498	6.7317	87.1831
2018	SAC 01 Level 3+	0.812	5.6627	6.2296	20.5393	17.9886	11.3404	11.3398	11.0687	11.0687	11.0687	11.0687	11.1577	129.3451
	Non SAC 01 Level 3+	8.40%	8.40%	8.40%	8.40%	8.40%	8.40%	8.40%	8.40%	8.40%	8.40%	8.40%	8.40%	8.40%
	Total	2.8076	2.8076	2.8076	2.8076	2.8076	2.8076	4.7605	4.7605	4.7605	4.7605	4.7605	4.7605	45.4085
2019	SAC 01 Level 3+	8.4242	8.4242	8.4242	8.4242	8.4242	8.4242	8.4242	7.2252	7.2252	7.2252	7.2252	7.2252	93.8961
	Non SAC 01 Level 3+	11.2318	11.2318	11.2318	11.2318	11.2318	11.2318	11.9857	11.9857	11.9857	11.9857	11.9857	11.9857	139.3047
	Total	1283.19%	98.35%	80.30%	-45.32%	-37.56%	-0.96%	5.70%	8.28%	8.28%	8.28%	8.28%	7.42%	48.678
2020	SAC 01 Level 3+	3.0098	3.0098	3.0098	3.0098	3.0098	3.0098	5.1032	5.1032	5.1032	5.1032	5.1032	5.1032	48.678
	Non SAC 01 Level 3+	9.0307	9.0307	9.0307	9.0307	9.0307	9.0307	7.7454	7.7454	7.7454	7.7454	7.7454	7.7454	100.6567
	Total	12.0405	12.0405	12.0405	12.0405	12.0405	12.0405	12.8486	12.8486	12.8486	12.8486	12.8486	12.8486	149.3346
2021	SAC 01 Level 3+	7.20%	7.20%	7.20%	7.20%	7.20%	7.20%	7.20%	7.20%	7.20%	7.20%	7.20%	7.20%	7.20%
	Non SAC 01 Level 3+	3.2114	3.2114	3.2114	3.2114	3.2114	3.2114	5.4451	5.4451	5.4451	5.4451	5.4451	5.4451	51.9394
	Total	9.6358	9.6358	9.6358	9.6358	9.6358	9.6358	8.2643	8.2643	8.2643	8.2643	8.2643	8.2643	107.4007
2022	SAC 01 Level 3+	12.8472	12.8472	12.8472	12.8472	12.8472	12.8472	13.7095	13.7095	13.7095	13.7095	13.7095	13.7095	159.34
	Non SAC 01 Level 3+	6.70%	6.70%	6.70%	6.70%	6.70%	6.70%	6.70%	6.70%	6.70%	6.70%	6.70%	6.70%	6.70%
	Total	12.8472	12.8472	12.8472	12.8472	12.8472	12.8472	13.7095	13.7095	13.7095	13.7095	13.7095	13.7095	159.34

Mockup of updated Forecast EFTS section